	/	/ /	sore instand co	weighting the chart	Meighting Risk	/ /	~~ /	× ~ /	
	Audit	Transaction		nthe ingten	escond+	Level plan	ed Time	ined up	
	dit	Insac sc	ol anillanal e	Scientific Stand	Sight N	Ve / m	et all	IONE	
Service Name	AU	110 V	Net Inter	Me chia.	we als	P12.	125 40	·/	
Buildings (security/fire/asbestos/gas/legionella)	Review	5	5	4	38	8	NYA	Í	
Right To Buy	Review	5	5	4	38	8	NYA	16/17 if no	t completed 15/16
Open Revenues	Computer	5	4	4	35	10	NYA	Ongoing	· ·
VAT	Review	5	5	2	34	8	NYA		
Procurement (Purchasing and contracts)	Review	5	5	2	34	10	Nov 15		
Private Housing Grants	Review	4	5	2	31	8	NYA		
Rent and Deposit Loans	Review	3	5	3	30	8	NYA		
Planning	Review	3	4	3	27	10	NYA	16/17	
Engineering	Review	3	4	2	25	10	NYA	16/17	
Homelessness/Temp Accommodations	Review	4	3	3	27	10	Jun-04	16/17	
Software Compliance	Computer	3	3	2	22	5	Nov-15		
Box Office Computer System	Computer	5	3	3	30	5	Jul-09		
Access to Information	Review	3	2	3	21	15	Aug-10		
Security of Data Movement	Computer	4	1	3	21	5	Nov-10		
Economic Development	Review	3	3	2	22	15	Jan-12		
Cafi - Bank Reconciliations	Computer	5	3	4	32	10	Feb-12	Ongoing	
Capital Programme	Review	5	1	2	22	15	Mar-12		
W2	Computer	5	2	4	29	15	Sep 12		
Asset Management	Review	5	3	4	32	15	Nov-12	17/18	
Cafi - Debtors	Computer	5	1	4	26	10	Nov-12		
Cafi - General Ledger	Computer	5	1	3	24	4	Nov-12		
Theatres (Box office/FOH/ Marketing)	Review	5	3	2	28	20	Feb-13		
Cafi - Purchasing	Computer	5	1	4	26	25	Jul-13		
Housing (inc EHL contract)	Review	5	2	3	27	15	Oct-13		
Cafi - Creditors	Computer	5	1	4	26	15	Oct-13		
Health & Safety	Review	5	1	3	24	20	Oct-13		
Devolved Budgets	Review	3	2	4	23	10	Oct-13		
Land Charges & Searches	Review	4	2	4	26	5	Dec-13		
Community Grants	Review	4	1	3	21	10	Jan-14		
Tenancy Management	Review	4	5	2	31	10	Feb-14		
Information Governance inc records management	Review	3	5	3	30	15	Feb-14		

Leaseholders and Recharges	Review	4	2	2	22	10	Feb-14			
Civil Contingency	Review	3	2	3	21	15	Mar-14			
Redoubt	Review	5	2	2	25	20	May 14			
Daily Cash Reconciliations at venues	Review	4	2	2	22	10	Jun-14			
Project Management Control	view/Comp	3	3	3	24	15	Jul-14			
Human Resources (Pers/recruit/training)	Review	5	2	3	27	15	Sep 14			
Catering	Review	4	3	4	29	10	Jan-15			
Sports and Community Centres	Review	5	2	2	25	10	Mar-15			
Petty Cash	Review	3	3	2	22	10	Mar-15			
GIS System & LLPG	Computer	3	3	3	24	5	Apl 15			
Public Services Network	Computer	3	2	3	21	10	Apl 15			
Conferences & Group Travel	Review	3	3	2	22	10	May-15			
Open Spaces	Review	4	3	2	25	10	Jun-15			
Licenses - Entertainment & Taxi	Review	3	4	2	25	10	Jul-15			
Planning System	Computer	3	3	3	24	10	Jul-15			
Leasing & Licensing	Review	5	3	2	28	15	Aug-15			
Internet Controls	Computer	3	3	3	24	10	Aug-15			
Car Parking inc. Parking Permits	Review	3	2	3	21	10	Aug-15			
Telephones	Review	4	2	3	24	10	Sep-15			
Waste Contract	Review	4	1	4	23	10	Oct-15			
CHRIS Computer System	Computer	3	2	3	21	5	Oct-15			
Events & Attractions	Review	5	4	2	31	15	Nov-15			
Seafront Services	Review	2	4	2	22	10	Nov-15			
Business Continuity Planning (Incl IT)	Review	3	5	3	30	15	Jan-16			
IT Contract	Computer	3	1	1	14	10	Jul-08	16/17 if not	completed	15/16
Change Controls	Computer	3	1	2	16	5	Nov-09		completed	
Performance Management	Review	3	1	3	18	15	May 10	16/17 1110	completed	13/10
Car Loans/Leasing	Review	3	1	2	16	8	Nov-10	10/17		
Internet/intranet/telephone payments/PCIDSS	Computer	5	1	1	20	10	Oct-11			
Insurances	Review	3	1	2	16	10	Feb-12			
Corporate Strategy/Priorities/Plan	Review	3	1	3	18	10	Jun-12			
Development Control & S106	Review	4	1	2	19	20	Nov-12			
Partnerships	Review	4	1	2	19	15	Nov-12			
Elections and Electoral Register	Review	3	1	4	20	10	Dec-12			
Postal Services	Review	3	1	4	20	10	Mar-13			
APP	Computer	3	1	3	18	10	Mar-13			
Covalent	Computer	3	1	2	16	5	Mar-13			
ooraion	Computer	5		<u> </u>	.0	, U				

			4	4	00	10	1 . 10			I
Cemeteries & Crematorium	Review	5	1	1	20	10	Jun-13			
Imp. Grants (DFG's) & HMO Licencing	Review	3	1	1	14	10	Aug-13			
Safeguarding	Review	2	1	2	13	10	Mar-14			
Officers Expenses	Review	4	1	2	19	4	Apr-14			
Destination Management System (DMS)	Computer	3	1	1	14	5	Apl 14			
Food Safety & Hygiene	Review	4	2	1	20	1	Jun-14			
Risk Management	Review	3	1	2	16	15	Jul-14			
IT Policies and Strategy	Computer	3	1	3	18	5	Aug-14			
BACAS Crematorium System	Computer	3	1	1	14	5	Sep-14			
Corporate Equality	Review	3	1	3	18	10	Oct-14			
Tourist Information Centre	Review	4	1	2	19	5	Dec-14			
Members (allowances/Interests/Support/hospitality	Review	4	1	2	19	15	Feb-15			
Corporate Complaints	Review	3	2	2	19	4	Mar-15			
Pest Control and Public Health Burial	Review	4	1	1	17	10	May-15			
Community Enforcement	Review	2	1	3	15	10	May-15			
Electoral Computer Sys.	Computer	3	1	1	14	5	Jul-15			
Personal Loans	Review	2	2	2	16	10	Aug 15			
Printing Services	Review	3	1	2	16	5	Nov-15			
Customer Contact Centre	Computer	1	3	3	18	10	Dec-15			
						906				
Risk		Internal Co	ontrol Scor	e						
8 - 20 Low		1 - Exceller	nt internal c	ontrols						
21 - 32 Medium		2 - Good co	ontrols							
33 - 40 High		3 - Adequa	te controls							
			factory con	trols						
Transaction Score				d (or unknow	wn)					
1 - Under £5k					,					
2 - £5001-£25000		System Cl	nange Scol	re						
3 - £25001 - £200000				uent of majo	r change					
4 - £200001 - £500000				nt or minor of						
5 - 0ver £500000		3 - Subject to average change								
		4 - Subject to frequent minor/infrequent major changes								
		5 - Subject to frequent/major change								
				ajor oriari	3-	1				1